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09/944663
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PATENT TRADEMARK OFFICE

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THE ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

09944663-083101

TRANSMITTAL OF CONTINUATION-IN-PART PATENT APPLICATION, BASED UPON PRIOR FILED APPLICATION 09/421,808

Dear Sir:

Transmitted herewith for filing, pursuant to 35 U.S.C. § 119(e)(1) and 37 C.F.R. § 1.78(a)(3) and § 1.78(a)(4) is the *non-provisional* patent application, based upon an earlier-filed application, of:

Inventor(s):	Patrick Brian Osterday, Valiyolah Tadayon, James Edward Wilson, Charles Martin Zwick
Title:	ACTIVE FILE SYSTEM
Drawings:	8 Sheets
Papers Enclosed:	Specification, 5 Claims and Abstract (Total of 30 Pages); Drawings - 8 Sheets; Cover Sheet; Request and Certification Under 35 U.S.C. 322(b)(2)(B)(i); Certificate of Express Mail; return postcard
Check Enclosed to Cover Total Fee:	\$435.00

CLAIMS AS FILED							
	No. Filed			No. Extra	Rate		Fee
Basic Fee							355.00
Total Claims		-	20		x 9.00		
Independent Claims	5	-	3	2	x 40.00		80.00
Multiple Claims					135.00		0.00
				Total Filing Fee			435.00
				Assignment Recordation			
TOTAL							\$435.00
SMALL ENTITY							

Earlier-filed APPLICATION: Please amend the specification by inserting before the first line the sentence: "This is a non-provisional application based upon an earlier filed application, Serial No. 09/421,808 filed October 20, 1999, and which claims priority from the earlier filed provisional application Serial No. 60/156,676, filed September 29, 1999."

The Assistant Commissioner for Patents is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Frost Brown Todd LLC, Account No. 06-2226:

Any Additional filing fees required by 37 CFR 1.16 and/or 37 CFR 1.492;

Any deficiency in the patent application processing fees as required by 37 CFR 1.17; or


Any deficiency in the issue fee as set forth in 37 CFR 1.18.

The above authorization does not include permission to charge payment of the entire issue fee to our account upon request of a notice of allowance, but only any deficiency in the issue fee paid by our check subsequent to receipt of a notice of allowance.

A duplicate copy of this transmittal letter is enclosed for any of these additional charges or credit.

Respectfully submitted

FROST BROWN TODD LLC

By: 

Ria Farrell Schalnatz

Registration No. 47,058

"Express Mail" Mailing Label Number:

EL583886655

Date of Deposit: 8/31/01

I hereby certify that this paper or fee is being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20031.

Tammi Carskadon

Typed or Printed Name of Person Signing


Signature

Encls: Filing Fee-1 copy
Deposit Account-1 copy